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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on September 2004.

Christine Donahue

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

David W. MORRIS et al.

Serial No.:

10/087,192

Filing Date:

March 1, 2002

For:

NOVEL COMPOSITIONS AND

METHODS FOR CANCER

Examiner: Not Yet Assigned

Group Art Unit: 1642

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in an International Search Report mailed on July 26, 2004 and directed to counterpart international applications.

This	Supplemental Information Disclosure Statement is submitted:
Withi	n three months of the application filing date or before mailing of a first Office
Actio	n on the merits; accordingly, no fee or separate requirements are required.
After	receipt of a first Office Action on the merits but before mailing of a final Office
Actio	n or Notice of Allowance.
	A fee is required. A check in the amount of is enclosed.
	A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached
	to this submission in duplicate.
	A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee
	is believed to be due.
After	mailing of a final Office Action or Notice of Allowance, but before payment of the
issue	fee.
	A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the
	amount of is enclosed.
	A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmitta
	form (PTO/SB/17 is attached to this submission in duplicate.
	Within Action After Action

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for

any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 529452000122. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated:

September 16, 2004

Respectfully submitted,

By:

Shantanu Basu

Registration No. 43,318

Morrison & Foerster LLP

755 Page Mill Road Palo Alto, California 94304-1018

Telephone: (650) 813-5995 Facsimile: (650) 494-0792



Substitute for form 1449/PTO

1

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete If Known				
Application Number	10/087,192			
Filing Date	March 1, 2002			
First Named Inventor	David W. MORRIS			
Art Unit .	1642 1643			
Examiner Name	Not Yet Assigned A. M. Harris			
Attorney Docket Number	529452000122			

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Document Number Number-Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		

1

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁴ (I' known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	™			

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. "Applicant's unique citation designation number (optional). "See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. "Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). "For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document." Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. "Applicant is to place a check mark here if English language Translation is attached.

	-	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
anh	1.	Database GeneCore Accession No. U78076, created on May 14, 1999, last visited on October 24, 2004. Lee et al. "Cloning of Mouse Sepiapterin Reductase Gene and Characterization of its promoter Region," Gene Sequence, <i>Biochim Biophys Acta</i> (1999). Vol 1445, No. 1 pages 165-171. MPSRCH Search Report 2004, 1 page.	
amh	2.	International Search Report mailed on November 10, 2004 for PCT patent application no. PCT/US02/41414 filed December 26, 2002, 8 pages.	

^{*}EXAMINER: tritial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Signature VVV WUCC	Considered 17/2/2007	1
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^{&#}x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English lenguage Translation is attached.

PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/087,192
Filing Date	March 1, 2002
First Named Inventor	David W. MORRIS
Art Unit	1642
Examiner Name	Not Yet Assigned
Attorney Docket Number	529452000122

Total Number	of Pages in This Submiss	Refs.	Attorney Do		529452000122
	EN	CLOSURES	(Check all	that appl	ν)
Fee Transm	nittal Form	Drawing(s)			After Allowance Communication to TC
Fee A	ittached	Licensing-rel	ated Papers		Appeal Communication to Board of Appeals and Interferences
Amendmen	t/Reply	Petition	•		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
After	Final	Petition to Co Provisional A			Proprietary Information
Affida	vits/declaration(s)		mey, Revocation mey, Revocatio		Status Letter
Extension of	f Time Request	Terminal Dis	claimer		Other Enclosure(s) (please Identify below):
Express Ab	andonment Request	Request for	Refund		Form PTO/SB/08a/b (1 page in duplicate)
x Information (3 pages)	Disclosure Statement	CD, Number	of CD(s)		References (2) Return Receipt Postcard
Certified Co	opy of Priority	Landscape Table on CD			
Reply to Mi	ssing Parts/ Application	Remarks .			
Reply 37 CF	r to Missing Parts under FR 1.52 or 1.53				
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Signature	MORRISON & FOE		(Custo	omer No.	25226)
	Thanton	Back			
Printed name	Shantanu Basu				
Date	December 15, 2004			Reg. No.	43,318
in an envelope a shown below.					
Dated: December	er 15, 2004	Signature:	myuur	- wata	(Georgina Matos)

pa-942952

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Dated: December 15, 2004

Signature:

Lurgina matos

PATENT Docket No. 529452000122 Client Reference No. 23696.001

DEE 1 5 2004 PE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: David W. MORRIS et al.

Serial No.: 10/087,192

Filing Date: March 1, 2002

For: NOVEL COMPOSITIONS AND

METHODS FOR CANCER

Examiner: Not Yet Assigned

Group Art Unit: 1642

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Dear Sir:

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Application No. 10/087,192

The documents listed on the attached Form PTO/SB/08a/b were cited in an International Search Report mailed on November 10, 2004 directed to a counterpart international or foreign application. A certification under 37 C.F.R. § 1.97(e)(1) follows:

I hereby certify that each item of information was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

This Supplemental Information Disclosure Statement is submitted:

		· ·
	With t	he application; accordingly, no fee or separate requirements are required.
	Before	e the mailing of a first Office Action after the filing of a Request for Continued
	Exami	ination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97
	(e)(1)	has been provided.
\boxtimes	Within	n three months of the application filing date or before mailing of a first Office Action
	on the	merits; accordingly, no fee or separate requirements are required. However, if
	applic	able, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
	After	receipt of a first Office Action on the merits but before mailing of a final Office Action
	or No	tice of Allowance.
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PATENT Docket No. 529452000122 Client Reference No. 23696.001

Application No. 10/087,192

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Dated: December 15, 2004

Respectfully submitted,

Shantanu Basu

Registration No.: 43,318

MORRISON & FOERSTER LLP

755 Page Mill Road

Palo Alto, California 94304

(650) 813-5995

Form PTO-1449	Docket Number 529452000122	Application Number 10/087,192	
NEORMATION DISCLOSURE CITATION IN AN APPLICATION	Applicant David W. MORRIS and Eric K. ENGELHARD		
(Use several sheets if necessary)	Filing Date March 1, 2002	Group Art Unit 1642 1643	
APR 2 8 2003 🔛	Mailing Date April 16, 2003	·	

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U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
ams	1.	12/18/1979	4,179,337	Davis et al.			·
1	2.	11/17/1981	4,301,144	Iwashita et al.			
	3.	09/04/1984	4,469,863	Ts'o et al.		D-	
	4.	01/29/1985	4,496,689	Mitra		J F C	E
	5.	02/03/1987	4,640,835	Shimizu et al.		400	LIVED
	6.	06/02/1987	4,670,417	Iwasaki et al.		אייי א	EIVED 9 2003
	7.	12/13/1988	4,791,192	Nakagawa et al.		AECH CENTE	2003
	8.	03/28/1989	4,816,567	Cabilly et al.		TECH CENTE	1600/2900
	9.	07/23/1991	5,034,506	Summerton et al.			
	10.	06/23/1992	5,124,246	Urdea et al.			
	11.	06/01/1993	5,216,141	Benner			
	12.	08/10/1993	5,235,033	Summerton et al.			
	10	19/25/1994	5,359,100	Urdea et al.			
	 	/1/31/1995	5,386,023	Sanghvi et al.			
	15.	08/29/1995	5,445,934	Fodor et al.			
	16.	08/13/1996	5,545,730	Urdea et al.			
	17.	08/13/1996	5,545,806	Lonberg et al.			
	18.	08/13/1996	5,545,807	Surani et al.			
	19.	10/29/1996	5,569,825	Lonberg et al.			
	20.	11/05/1996	5,571,670	Urdea et al.			,
	21.	12/03/1996	5,580,731	Chang et al.			
	22.	01/07/1997	5,591,584	Chang et al.			
	23.	01/14/1997	5,594,117	Urdea et al.			
	24.	01/14/1997	5,594,118	Urdea et al.			
, ,	25.	01/28/1997	5,597,909	Urdea et al.			
	26.	02/11/1997	5,602,240	De Mesmaeker et al.			

EXAMINER: OMN WITTE

DATE CONSIDERED: 27 10 0

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

OTP E 007 3 1 2003

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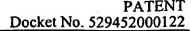
Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/087,192 Filing Date TRANSMITTAL March 1, 2002 First Named Inventor **FORM** David W. MORRIS Art Unit 1642 (to be used for all correspondence after initial filing) **Examiner Name** Not Yet Assigned Attorney Docket 8 + 14Total Number of Pages in This Submission 529452000122 Number references **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board of Licensing-related Papers Fee Attached Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Retition to Convert to a Proprietary Information After Final Previsional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please X Other children (Control of the Control of the Con **Extension of Time Request** Terminal Disclaimer 1. Form PTO-1449 + copy (4 **Express Abandonment Request** Request for Refund pages) 2. Fourteen (14) references Information Disclosure Statement (3 CD, Number of CD(s) 3. Return postcard Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT 25226 **MORRISON & FOERSTER LLP** Firm Shantanu Basu - 43,318 Individual name Signature Date October 28, 2003

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Dated: 10/28/03 Signature: Nice Patticon (Mae Pettison)	

Form PTO	Form PTO-1449			Docket Number 529452000122 Applic		Application Num	plication Number 10/087,192	
OVERER	MAT O IN	ION DISCLO I AN APPLIC	SURE CITATION ATION		Applicant David W. MORRIS and Eric K. ENGELHARD			
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WA A	27.	04/29/1997	5,624,802	Urd	ea et al.			
	28.	04/29/1997	5,625,126	Lon	berg et al.			
	29.	05/27/1997	5,633,425	Lon	berg et al.			
	30.	06/03/1997	5,635,352	Urd	ea et al.	THE	CEW	7.
	31.	06/10/1997	5,637,684	Coc	ok et al.		W 6.1	D:
	32.	07/01/1997	5,644,048	Yaı	1	- A	PR 2 9 200	
	33.	08/26/1997	5,661,016	Lor	iberg et al.	TECH CH	ZAIZe-	
	34.	10/28/1997	5,681,697	Urd	lea et al.		NTER 1800/2	900
	35.	10/28/1997	5,681,702	Col	lins et al.			
	36.	12/23/1997	5,700,637	Sou	thern			
	37.	11/28/2000	6,153,441	Apı	oelbaum et al.			
F	D-C	T Data		PAT	ENT DOCUMEN		Subalass	Tompletion
Examiner Initials	Ref. No.	Date	Document No.		Country	Class	Subclass	Translation YES NO
aun	38.	09/11/1987	WO 87/05330 🖊	WI	PO .			
	39.	09/20/1990	WO 90/10448 🗸	WI	PO			
	40.	04/18/1991	WO 91/04753	WI	PO			
	41.	11/11/1993	WO 93/22443	WI	PO			
	42.	09/21/1995	WO 95/25116 🗸	WI	PO			
	43.	12/28/1995	WO 95/35505 /	WI	PO			
1	44.	07/31/1997	WO 97/27212 -	WI	PO			
<u> </u>	45.	07/31/1997	WO 97/27213 —	WI	PO			
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Examiner Initials	Ref.	Title						
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	47.		F. and Gish, W. (199 nic Press, Inc., pp. 46			Statistics" <i>In</i>	Methods in En	zymology Vol.
<u> </u>	48.	Altschul, S.	F. et al. (1990). "Bas	ic Lo				5:403-410.
EXAMI	NER:	autor	rus		DATE CON	SIDERED: 6	Whily a	007
	EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.							





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NUL Pottoson 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

David W. MORRIS and Eric K. ENGELHARD

Serial No.:

10/087,192

Filing Date:

March 1, 2002

For:

NOVEL COMPOSITIONS AND

METHODS FOR CANCER

Examiner: Not Yet Assigned

Group Art Unit: 1642

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			PTO/SB/08 (2-92) Sheet 3 of 9			
Form PTO-1449	1600	Docket Number 529452000122	Application Number 10/087,192			
IN CURRECTION DISCLOSUR IF AN APPLICATION	E CITAGON ON	Applicant	l Eric K. ENGELHARD			
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Conjugates of Fic	teins and Lipids," Cl	81). "Preparation, Properties, and RC Crit. Rev. Biochem. pp. 259-	306.			
		furine CC Chemokine, 6C-Kine, er SCID Mouse Model," Cancer				
		ort Protocols in Molecular Biologo. iii-xviii (Table of Contents On				
Genotypes and a 343.	Bai, J. et al. (1999). "Sequence Comparison of JSRV with Endogenous Proviruses: Envelope Genotypes and a Novel ORF With Similarity to a G-Protein-Coupled Receptor," <i>Virology</i> 258:333-343.					
	Beaucage, S. L. and Iyer, R. P. (1993). "The Functionalization of Oligonucleotides Via Phosphoramidite Derivatives," <i>Tetrahedron</i> 49(10):1925-1963.					
	Boener, P. et al. (1991). "Production of Antigen-Specific Human Monoclonal Antibodies from in Vitro-Primed Human Splenocytes," J. Immunol. 147(1):86-95.					
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57. Brower, V. (1998). "Naked DNA Vac	ccines Come of Age," Nature Bi	otechnology 16:1304-1305.			
58. Carlsson, C. et a	. (1996). "Screening	g for Genetic Mutations," Nature	2 380:207 (1 page total).			
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Carbohydrate Mo	De Mesmaeker, A. et al. (1994). "Novel Backbone Replacements for Oligonucleotides," Chapter 2 In Carbohydrate Modifications in Antisense Research, ACS Symposium Series 580 Shanghvi, Y. S and Cook, P. D, eds, American Chemical Society, Washington, pp. 24-39.					
		ynucleotides," Proc. Natl Acad.				
EXAMINER: Completion		DATE CONSIDERED: 2	1 / wey may			
EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.						

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The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to

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charge the cost of such petitions and/or other fees due in connection with the filing of this document to <u>Deposit Account No. 03-1952</u> referencing 529452000122. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: October 28, 2003

Respectfully submitted,

By:

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EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.